

GENERAL ADVICE WARNING

Consistent with the Corporations Act 2001 (s 949A) Waterfall Way Associates Pty Ltd (ABN 37 126 311 251 /AFSL #318105) supplies the following general advice warning:

The information provided today is of a general nature. It does not take into account your personal financial situation, needs or objectives and should not be relied upon for the purpose of making any investment decision. We recommend that you obtain independent financial, taxation and/or legal advice that consider your specific circumstances and the appropriateness of a specific financial product or strategy before making any investment decision

RG 175 Dec 2013 includes examples of simple ‘general advice warnings’ appropriate for when general advice is provided orally:

RG 175.67 Some examples of simplified warnings for oral general advice include:

- (a) ‘This advice is general—it may not be right for you.’
- (b) ‘This advice is not tailored, so you can’t assume it will be suitable for you.’
- (c) ‘This advice may not be suitable for you because it is general advice.’
- (d) ‘You will need to decide whether this advice meets your needs because I haven’t considered this.’

**PROFESSIONAL
PRACTICE**



Waterfall Way Associates is an FPA Professional Practice and is committed to upholding the FPA's Code of Professional Practice

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